



UNIVERSITÀ
DI TRENTO



modely

**A FRAMEWORK FOR DESIGNING
MODEL-STRUCTURED NEURAL NETWORKS
FOR MODELING, CONTROL, AND ESTIMATION
OF PHYSICAL SYSTEMS**

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THE NEU4MES PROJECT



Principal Investigator Gastone P. Rosati P., University of Trento

Founded by the **Italian Ministry of University and Research** (MUR) under the *Science Italian Found 2023* program, for **1.2 Million Euros**.

Aim Develop a new approach to model and control physical system using **Model-Structured Neural Networks**

Outcome the **modely** framework, a **wiki website** for collecting MSNN models.

Case Study Several autonomous systems, including: **Drones, Quadrupeds, Vehicles**.

More details at <https://tonegas.it/>



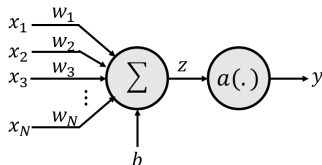
MOTIVATION

MOTIVATION

WHY MODEL-STRUCTURED NEURAL NETWORKS?

The Perceptron

- A **neural network** (NN) is a mathematical model inspired by the structure of the human brain
- The basic component of a NN is the **Perceptron**¹

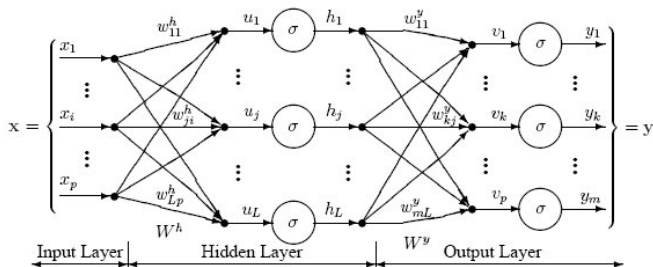


- From a mathematical point of view:

$$z = \bar{w}^T \bar{x} + b, \quad y = a(z)$$

¹Frank Rosenblatt. "The perceptron: a probabilistic model for information storage and organization in the brain.". In: *Psychological review* (1958).

From Single- to Multi-layer Perceptron



- Multiple perceptrons can be concatenated to model general nonlinear functions (see Tensorflow Playground)
- **Universal approximation theorem:** a NN with a single hidden layer can approximate any continuous function²

²George Cybenko. "Approximation by superpositions of a sigmoidal function". In: *Math. Control Signal Systems* (1989).

The NN Internal Structure Matters

- *Computer vision*: **convolutional neural networks**, embedding the concept of spatial invariant³
- *Speech recognition*: **long short-term memory networks**, embedding the concept of short and long term memory⁴
- *Data generation*: **generative adversarial networks**, used for generative AI⁵ (example: OpenAI Dall-E)
- *Natural language processing*: **transformers** embed the concept of self-attention⁶ (example: chatGPT)

³Yann LeCun, Koray Kavukcuoglu, and Clement Faret. "Convolutional networks and applications in vision". In: *Proceedings of 2010 IEEE International Symposium on Circuits and Systems*. 2010.

⁴Sepp Hochreiter and Jürgen Schmidhuber. "Long Short-Term Memory". In: *Neural Computation* (1997).

⁵Ian Goodfellow et al. "Generative adversarial networks". In: *Communications of the ACM* (2020).

⁶Ashish Vaswani et al. "Attention is all you need". In: *Advances in neural information processing systems* 30 (2017).

Model-Structured Neural Networks (MS-NNs)

Model-based approach

Data-driven approach

Model-Structured Neural Networks (MS-NNs)

Model-based approach

- Leverage physics knowledge
- Equation-based formulation
- Hard to model everything
- System identif. may require ad-hoc experiments

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Data-driven approach

- No need for expert knowledge
- Model complex functions
- Black-box structure
- Data-hungry
- Poor generalization

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Model-Structured Neural Networks (MS-NN)

- **Flexibility** of data-driven (NN) techniques
- Embed **domain-specific knowledge** into the NN's internal **structure** → increased **explainability** + **generalization**

MOTIVATION

APPROACHES

How to embed physics- or model-based knowledge in NNs?

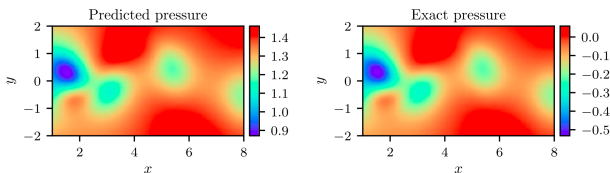
- **Decide on problem**
- **Curate the data**
- **Design the internal architecture:**
- **Design a loss function**
- **Design of optimization algorithms**

MOTIVATION

RELATED WORKS

Physics-informed NNs (PINNs), Raissi et al. (2019)

- **Idea:** Data-driven solution or discovery of complex PDEs
- **How:** *Structured loss function* based on the PDE residuals, but using deep unstructured NNs
- **Pros:** Learn the solution of PDEs using small training datasets; accelerate simulations of complex systems
- **Examples:** Fluid dynamics (Navier Stokes), quantum mechanics, solid mechanics, reaction-diffusion⁷. **Note:** no modeling of real physical systems, only PDEs



⁷M. Raissi, P. Perdikaris, and G.E. Karniadakis. "Physics-informed neural networks: A deep learning framework for solving forward and inverse problems involving nonlinear partial differential equations". In: *Journal of Computational Physics* (2019).

Other approaches related to PINNs

- **Hamiltonian**⁸ and **Lagrangian NNs**⁹ that learn to conserve energy in mechanical systems and robotic tasks
- **Pontryagin AI**¹⁰ to learn the policies of optimal control tracking problems (OCPs):
 - NN to approximate the controls of an OCP
 - NN trained with a tracking loss function
 - No need to solve the adjoint equations of the OCP
- NNs to approximate the value function of Hamilton-Jacobi-Bellman equations for **dynamic programming**¹¹

⁸Sam Greydanus, Misko Dzamba, and Jason Yosinski. *Hamiltonian Neural Networks*. 2019.

⁹Michael Lutter, Kim Listmann, and Jan Peters. "Deep Lagrangian Networks for end-to-end learning of energy-based control for under-actuated systems". In: 2019.

¹⁰Lucas Böttcher, Nino Antulov-Fantulin, and Thomas Asikis. "AI Pontryagin or how artificial neural networks learn to control dynamical systems". In: *Nature Communications* (2022).

¹¹Murad Abu-Khalaf and Frank L. Lewis. "Nearly optimal control laws for nonlinear systems with saturating actuators using a neural network HJB approach". In: *Automatica* (2005).

Combining NNs and analytical models

- **Idea:** Use NNs to improve the prediction of analytical models
- **How:** Augment an analytical model with NNs to better learn some complex dynamics
- **Pros:** No need to learn the entire model from scratch; partly preserve the physical meaning of the model
- **Examples:**
 - NN to learn the parameters of multibody vehicle models¹²
 - Neural tire models in multibody vehicle models¹³¹⁴
 - Neural ODE to predict the heart rate during workouts¹⁵

¹²John Chrosniak, Jingyun Ning, and Madhur Behl. "Deep Dynamics: Vehicle Dynamics Modeling With a Physics-Constrained Neural Network for Autonomous Racing". In: *IEEE Robotics and Automation Letters* 9.6 (2024).

¹³Jan Węgrzynowski et al. *Learning dynamics models for velocity estimation in autonomous racing*. 2024.

¹⁴Taekyung Kim, Hojin Lee, and Wonsuk Lee. "Physics Embedded Neural Network Vehicle Model and Applications in Risk-Aware Autonomous Driving Using Latent Features". In: *2022 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*. 2022.

¹⁵Achille Nazaret et al. "Modeling personalized heart rate response to exercise and environmental factors with wearables data". In: *npj Digital Medicine* 6 (Nov. 2023).

Our Model-Structured NNs

- **Idea:** Embed domain-specific prior knowledge into the NN's internal structure
- **How:** Neuralize the structure of a physics-based model
- **Pros:** Model interpretability; improved generalization
- **Examples:** Modeling *physical systems* (unknown dyna./param.)
 - Model and control the long-lat. vehicle dynamics¹⁶¹⁷
 - Vehicle state estimation¹⁸
 - Model mechanical systems¹⁹;
 - Human motion prediction²⁰

¹⁶Mauro Da Lio, Daniele Bortoluzzi, and Gastone Pietro Rosati Papini. "Modelling longitudinal vehicle dynamics with neural networks". In: *Vehicle System Dynamics* (2020).

¹⁷Mattia Piccinini et al. "A Physics-Driven Artificial Agent for Online Time-Optimal Vehicle Motion Planning and Control". In: *IEEE Access* (2023).

¹⁸Mauro Da Lio, Mattia Piccinini, and Francesco Biral. "Robust and Sample-Efficient Estimation of Vehicle Lateral Velocity Using Neural Networks With Explainable Structure Informed by Kinematic Principles". In: *IEEE Transactions on Intelligent Transportation Systems* (2023).

¹⁹Antonio Di Dino, Francesco Biral, and Paolo Bosetti. "Hybrid Modeling of Non-Linear Mechanical Systems: The Case of a Vehicle Shock Absorber". In: 2011.

²⁰Alessandro Antonucci et al. "Efficient Prediction of Human Motion for Real-Time Robotics Applications With Physics-Inspired Neural Networks". In: *IEEE Access* (2022).

NNODELY FRAMEWORK

- *Modeling, control and estimation of **physical systems**, whose internal dynamics may be partially unknown*

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- **Speed-up** the development of MS-NN, which is complex to design using standard deep-learning framework

The code is reduced by 75%

- *Modeling, control and estimation of **physical systems**, whose internal dynamics may be partially unknown*
- **Speed-up** the development of MS-NN, which is complex to design using standard deep-learning framework
- **Support professionals** with classical modeling backgrounds, such as **physicists** and **engineers**, in using data-driven approaches (but embedding knowledge inside) to address their challenges

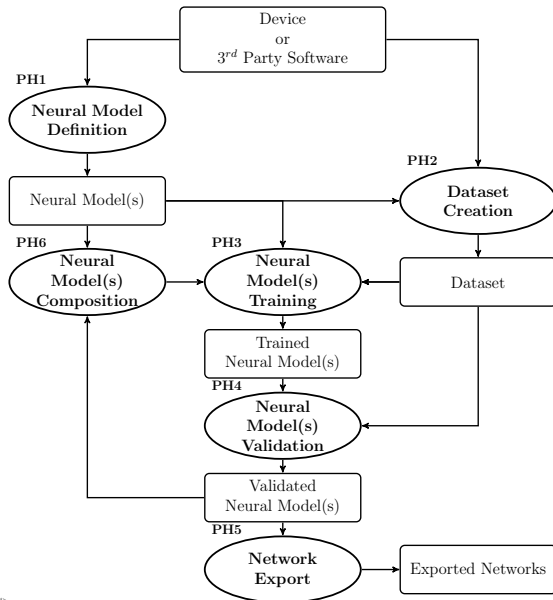
It avoids the common mistakes of using standard deep-learning frameworks

- *Modeling, control and estimation of **physical systems**, whose internal dynamics may be partially unknown*
- **Speed-up** the development of MS-NN, which is complex to design using standard deep-learning framework
- **Support professionals** with classical modeling backgrounds, such as **physicists** and **engineers**, in using data-driven approaches (but embedding knowledge inside) to address their challenges
- A **repository** of incremental **know-how** that effectively collects approaches with the same purpose, i.e. building an increasingly advanced library of MS-NNs for various applications

NODELY FRAMEWORK

WORKFLOW

Framework workflow



modely
neuralize your model



NODELY FRAMEWORK

PHYSICAL PRINCIPLES

The **MS-NN** embeds and generalizes **physical principles** and prior-model knowledge, where the parameters are learned from **experimental data**

Embedding Physical Principles (Main Ideas)

Main model concepts:

- Linear **superposition of forces**.
- The system dynamics are treated using **FIR** or **IIR** filter/layer.
- The non-linearities can be addressed using **local models** or **custom functions**
- Capturing the residuals of analytical models (such as friction, delays, and backlash) through **ad-hoc neuralization**
- Embedding easily the concept of **time** inside the NN
- Flexible managing of **recursive networks**

USE CASES

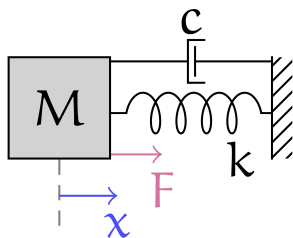


USE CASES

EXAMPLE OF LEARNING MODEL AND CONTROL OF A SYSTEM

Model and Control a Mass-spring-damper

Learn the dynamics of a mass-spring-damper system

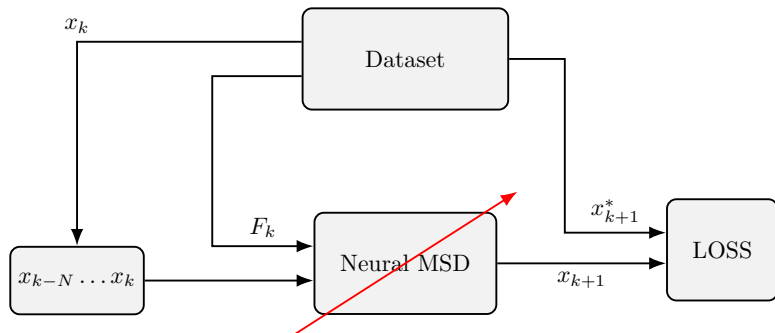


$$M\ddot{x} + c\dot{x} + kx = F$$

$$x_{k+1} = \underbrace{\sum_{k=0}^{N-1} x[-k] \cdot h_x[(N-1)-k]}_{\text{FIR}(\tilde{x})} \underbrace{\frac{c\dot{x}+kx}{M}}_{\text{FIR}(F)} + \underbrace{\frac{F}{M}}_{\text{FIR}(F)}$$

Model and Control a Mass-spring-damper

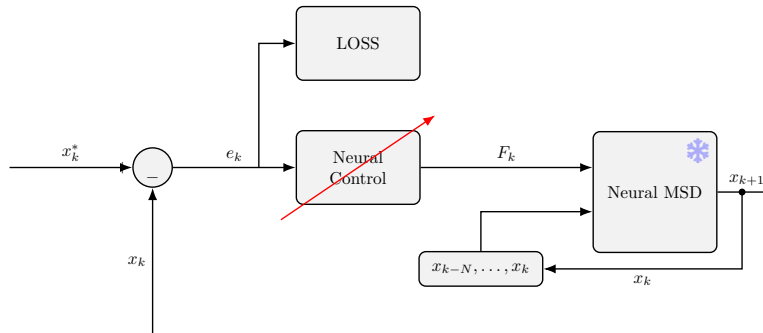
Learn the neural model for a mass-spring-damper system



$$\text{LOSS} = \sum_{\text{examples}} e_k^2 = \sum (x_k - x_k^*)^2$$

Model and Control a Mass-spring-damper

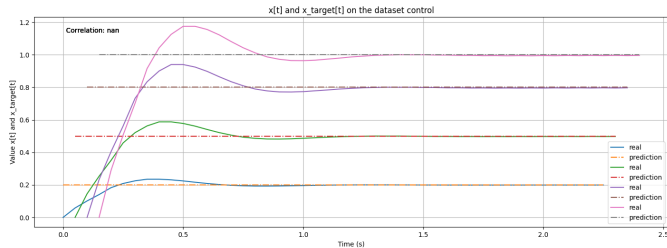
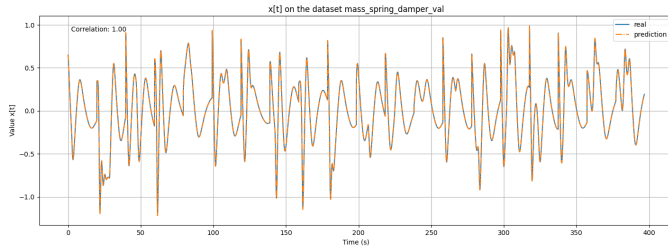
Learn the Neural control for a mass-spring-damper system



$$\text{LOSS} = \sum_{\text{examples}} e_k^2 = \sum (x_k - x_k^*)^2$$

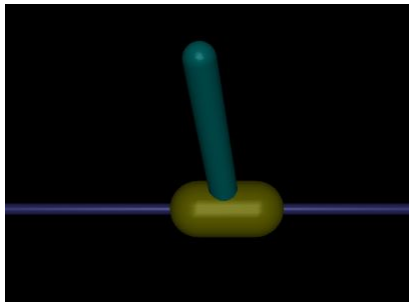
Model and Control a Mass-spring-damper

Results for modelling and low level control



Model and Control an Inverted Pendulum

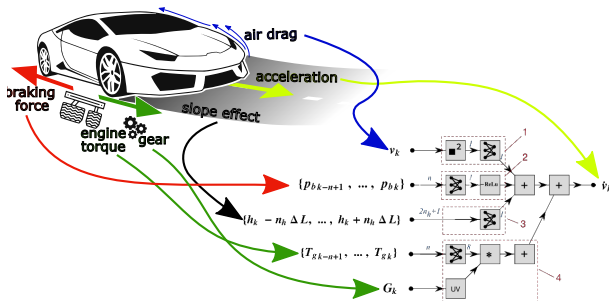
Model and Control of an inverted pendulum



Show video of the controlled the inverted pendulum

USE CASES

MODELING AND CONTROL COMPLEX SYSTEMS

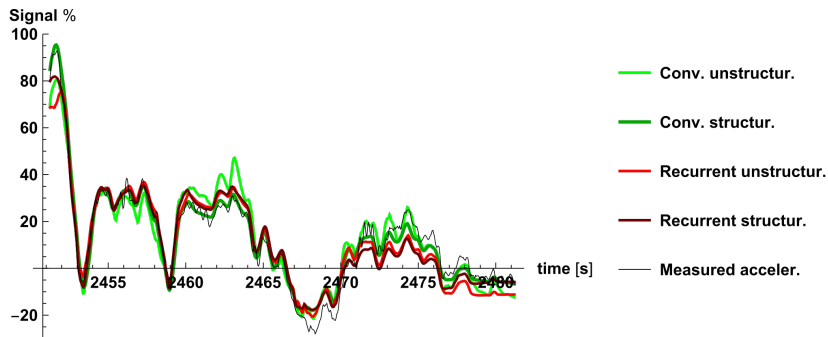


$$m \dot{v}_x = F_{x_f} + F_{x_r} - k_d v_x^2 - m(g c_r \cos(\theta) + g \sin(\theta))$$

$$\dot{v}_x = \sum_i^{\text{gear}} \overbrace{g_i \text{FIR}_i(\bar{T}_g) - \text{Relu}(\text{FIR}(\bar{p}_b))}^{(F_{x_f} + F_{x_r})/m} + \overbrace{\text{FIR}(v_x^2)}^{k_d v_x^2/m} - \overbrace{\text{Linear}(\bar{h}_k)}^{g c_r \cos(\theta) + g \sin(\theta)}$$

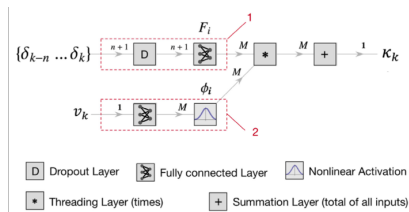
²¹Mauro Da Lio, Daniele Bortoluzzi, and Gastone Pietro Rosati Papini. "Modelling longitudinal vehicle dynamics with neural networks". In: *Vehicle System Dynamics* (2020).

Results for modelling of longitudinal vehicle dynamics



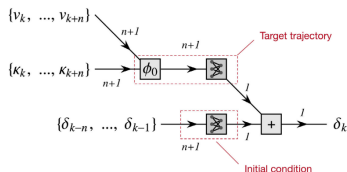
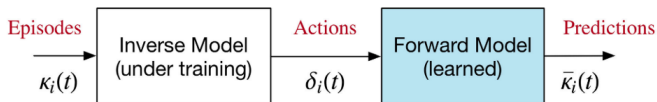
Model and Control Lateral Vehicle Dynamics

Forward model of a vehicle's lateral dynamics²²

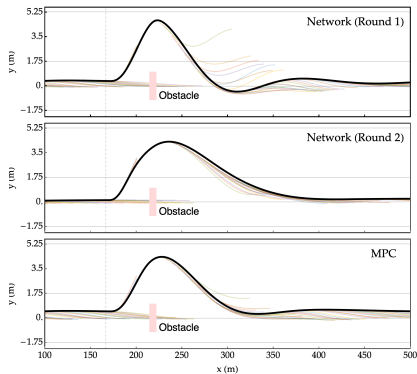
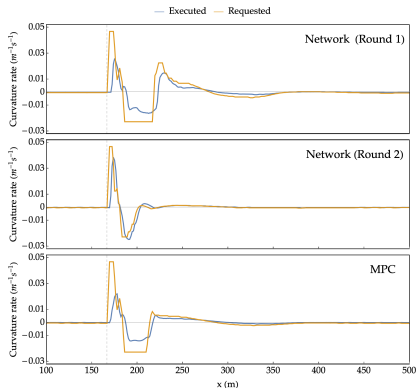


²²Mauro Da Lio et al. "A mental simulation approach for learning neural-network predictive control (in self-driving cars)". In: *IEEE Access* (2020).

1. Train the **forward model** of the vehicle's lateral dynamics
2. Use the trained forward model to train the **inverse model**, which is a control system → unsupervised learning



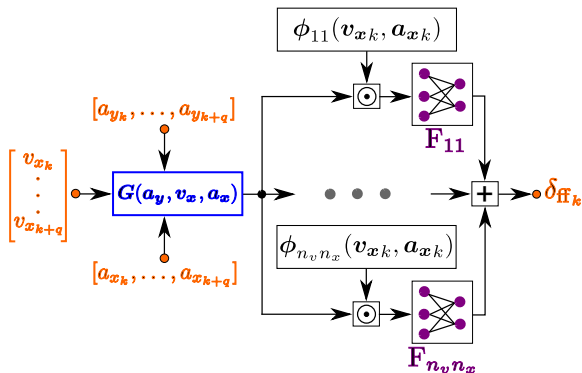
Results of control of a vehicle's lateral dynamics of a **passanger vehicle**



Show video of Renegade car driven by our lateral controller

Control Lateral Vehicle Dynamics for Racing Vehicles

Results of control of a vehicle's lateral dynamics of a **racing vehicle**



Show video of the F1Tenth car driven by our lateral controller



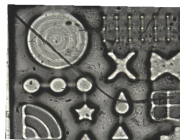
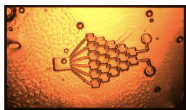
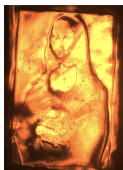
USE CASES



OTHER EXAMPLES OF MS-NNS

Controlling the 3D printing process

The network for defining the 3D printing process parameters.



$$\phi(t) = 1 - \exp^{-t^3 k}$$

Models nucleation and growth in crosslinking during polymerization.

$$d_c = D_p \log \left(\frac{E}{E_C} \right)$$

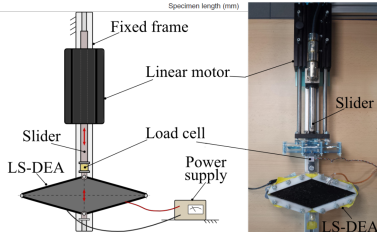
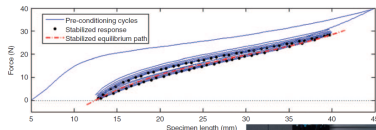
Describes how deep the resin will cure, depending on $\frac{E}{E_C}$.

- Degree of polymerization $\phi(t)$ (unitless)
- Reaction rate constant k (s^{-n})
- Cure depth d_c (μm)
- Penetration depth D_p (μm)
- Delivered energy dose E (mJ/cm^2)
- Critical energy E_C (mJ/cm^2)

Model a response of electroactive polymers

The neural network model is based on a physical model of a viscoelastic material

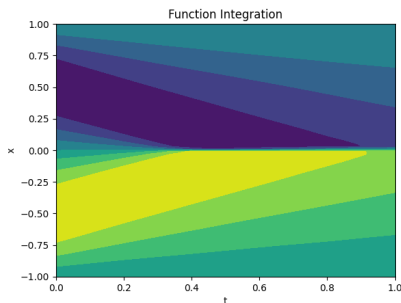
$$f_{\text{tot}} = f_e(\lambda) + f_d([\lambda_t, \dots, \lambda], [\dot{\lambda}_t, \dots, \dot{\lambda}]) + f_v(V, \lambda)$$



- total force realized: f_{tot}
- stretch: λ
- voltage: V
- elastic force: f_e
- viscoelastic and hysteretic force: f_d
- electric force: f_v

Integrate a nonlinear differential equation

Integrate the Burger's equation²³ in the range $x \in [-1, 1]$,
 $t \in [0, 1]$.



$$u = NN(t, x),$$

$$\frac{u}{dt} + u \frac{u}{dx} - \left(\frac{0.01}{\pi} \right) \frac{u}{dx^2} = 0,$$

$$u(0, x) = -\sin(\pi x),$$

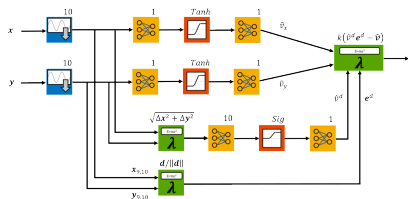
$$u(t, -1) = u(t, 1) = 0.$$

²³M. Raissi, P. Perdikaris, and G.E. Karniadakis. "Physics-informed neural networks: A deep learning framework for solving forward and inverse problems involving nonlinear partial differential equations". In: *Journal of Computational Physics* (2019).

Learning a pedestrian model

The network is based on social force model²⁴

$$\mathbf{f}(t) = m \frac{v^d(t)\mathbf{e}^d(t) - \mathbf{v}(t)}{\tau} + \sum_w \mathbf{f}_w^W(t)$$



- forces on pedestrian: $\mathbf{f}$
- pedestrian mass: m
- pedestrian speed: $\mathbf{v}$
- desired velocity: v^d
- waypoint direction: $\mathbf{e}^d$
- velocity change rate: τ
- obstacle forces: $\mathbf{f}_w^W$

²⁴Alessandro Antonucci et al. "Efficient Prediction of Human Motion for Real-Time Robotics Applications With Physics-Inspired Neural Networks". In: *IEEE Access* (2022).

The applications are available at
<https://github.com/tonegas/nnodey-applications>





Apply the framework on **your** task
and



Star it if you like!

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