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DI TRENTO



UPAEP

modely

**A FRAMEWORK FOR DESIGN
MODEL-STRUCTURED NEURAL NETWORKS
FOR MODEL AND CONTROL PHYSICAL SYSTEMS**

June 2025

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THE NEU4MES PROJECT



- Principal Investigator** Gastone P. Rosati P., University of Trento
- Founded** by the **Italian Ministry of University and Research** (MUR) under the *Science Italian Found 2023* program, for **1.2 Million Euros**.
- Aim** Develop a new approach to model and control physical system using **Model-Structured Neural Networks**
- Outcome** the **modely** framework, a **wiki website** for collecting MSNN models.
- Case Study** Several autonomous systems, including: **Drones, Quadrupeds, Vehicles**.

More details at <https://tonegas.it/>



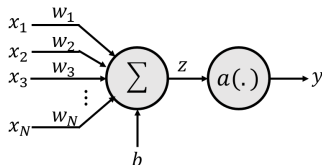
MOTIVATION

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WHY MODEL-STRUCTURED NEURAL NETWORKS?

The Perceptron

- A **neural network** (NN) is a mathematical model inspired by the structure of the human brain
- The basic component of a NN is the **Perceptron**¹

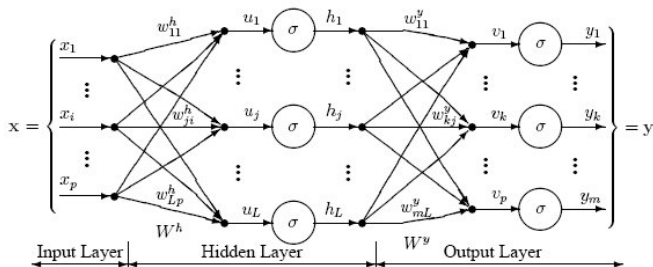


- From a mathematical point of view:

$$z = \bar{w}^T \bar{x} + b, \quad y = a(z)$$

¹Frank Rosenblatt. "The perceptron: a probabilistic model for information storage and organization in the brain.". In: *Psychological review* (1958).

From Single- to Multi-layer Perceptron



- Multiple perceptrons can be concatenated to model general nonlinear functions (see Tensorflow Playground)
- **Universal approximation theorem:** a NN with a single hidden layer can approximate any continuous function²

²George Cybenko. "Approximation by superpositions of a sigmoidal function". In: *Math. Control Signal Systems* (1989).

- *Computer vision*: **convolutional neural networks**, embedding the concept of spatial invariant³

³Yann LeCun, Koray Kavukcuoglu, and Clement Faret. "Convolutional networks and applications in vision". In: *Proceedings of 2010 IEEE International Symposium on Circuits and Systems*. 2010.

⁴Sepp Hochreiter and Jürgen Schmidhuber. "Long Short-Term Memory". In: *Neural Computation* (1997).

⁵Ian Goodfellow et al. "Generative adversarial networks". In: *Communications of the ACM* (2020).

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The NN Internal Structure Matters

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- *Speech recognition*: **long short-term memory networks**, embedding the concept of short and long term memory⁴

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- *Natural language processing*: **transformers** embed the concept of self-attention⁶ (example: chatGPT)

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Model-Structured Neural Networks (MS-NNs)

Model-based approach

Data-driven approach

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- Leverage physics knowledge
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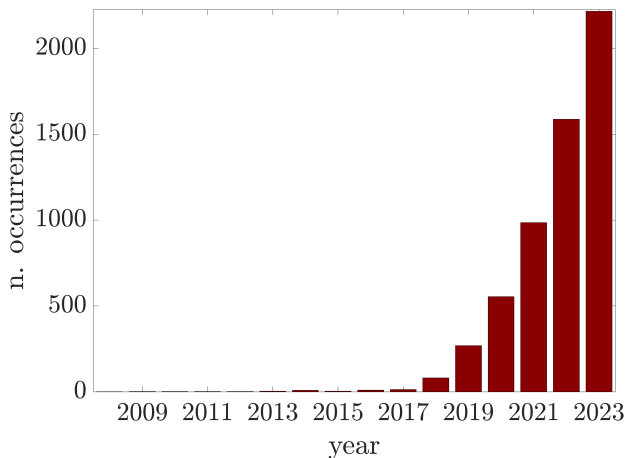
Model-Structured Neural Networks (MS-NN)

- **Flexibility** of data-driven (NN) techniques
- Embed **domain-specific knowledge** into the NN's internal **structure** → increased **explainability** + **generalization**

MOTIVATION

RELATED WORK

Emerging Interest in Explainable AI



Keywords "*interpretable machine learning*", "*explainable machine learning*" and "*explainable neural networks*" in Scopus

How to embed physics- or model-based knowledge in NNs?

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- **Decide on problem**, e.g. defining input and output

Overview of Physics-Driven NN Approaches

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- **Curate the data**, operation on data before fit

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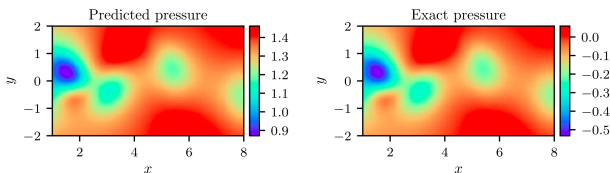
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- **Design of optimization algorithms**

Physics-informed NNs (PINNs), Raissi et al. (2019)

- **Idea:** Data-driven solution or discovery of complex PDEs
- **How:** *Structured loss function* based on the PDE residuals, but using deep unstructured NNs
- **Pros:** Learn the solution of PDEs using small training datasets; accelerate simulations of complex systems
- **Examples:** Fluid dynamics (Navier Stokes), quantum mechanics, solid mechanics, reaction-diffusion⁷. **Note:** no modeling of real physical systems, only PDEs



⁷M. Raissi, P. Perdikaris, and G.E. Karniadakis. "Physics-informed neural networks: A deep learning framework for solving forward and inverse problems involving nonlinear partial differential equations". In: *Journal of Computational Physics* (2019).

Other approaches related to PINNs

- **Hamiltonian**⁸ and **Lagrangian NNs**⁹ that learn to conserve energy in mechanical systems and robotic tasks
- **Pontryagin AI**¹⁰ to learn the policies of optimal control tracking problems (OCPs):
 - NN to approximate the controls of an OCP
 - NN trained with a tracking loss function
 - No need to solve the adjoint equations of the OCP
- NNs to approximate the value function of Hamilton-Jacobi-Bellman equations for **dynamic programming**¹¹

⁸Sam Greydanus, Misko Dzamba, and Jason Yosinski. *Hamiltonian Neural Networks*. 2019.

⁹Michael Lutter, Kim Listmann, and Jan Peters. "Deep Lagrangian Networks for end-to-end learning of energy-based control for under-actuated systems". In: 2019.

¹⁰Lucas Böttcher, Nino Antulov-Fantulin, and Thomas Asikis. "AI Pontryagin or how artificial neural networks learn to control dynamical systems". In: *Nature Communications* (2022).

¹¹Murad Abu-Khalaf and Frank L. Lewis. "Nearly optimal control laws for nonlinear systems with saturating actuators using a neural network HJB approach". In: *Automatica* (2005).

Combining NNs and analytical models

- **Idea:** Use NNs to improve the prediction of analytical models
- **How:** Augment an analytical model with NNs to better learn some complex dynamics
- **Pros:** No need to learn the entire model from scratch; partly preserve the physical meaning of the model
- **Examples:**
 - NN to learn the parameters of multibody vehicle models¹²
 - Neural tire models in multibody vehicle models¹³¹⁴
 - Neural ODE to predict the heart rate during workouts¹⁵

¹²John Chrosniak, Jingyun Ning, and Madhur Behl. "Deep Dynamics: Vehicle Dynamics Modeling With a Physics-Constrained Neural Network for Autonomous Racing". In: *IEEE Robotics and Automation Letters* 9.6 (2024).

¹³Jan Węgrzynowski et al. *Learning dynamics models for velocity estimation in autonomous racing*. 2024.

¹⁴Taekyung Kim, Hojin Lee, and Wonsuk Lee. "Physics Embedded Neural Network Vehicle Model and Applications in Risk-Aware Autonomous Driving Using Latent Features". In: *2022 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*. 2022.

¹⁵Achille Nazaret et al. "Modeling personalized heart rate response to exercise and environmental factors with wearables data". In: *npj Digital Medicine* 6 (Nov. 2023).

Our Model-Structured NNs

- **Idea:** Embed domain-specific prior knowledge into the NN's internal structure
- **How:** Neuralize the structure of a physics-based model
- **Pros:** Model interpretability; improved generalization
- **Examples:** Modeling *physical systems* (unknown dyna./param.)
 - Model and control the long-lat. vehicle dynamics¹⁶¹⁷
 - Vehicle state estimation¹⁸
 - Model mechanical systems¹⁹;
 - Human motion prediction²⁰

¹⁶Mauro Da Lio, Daniele Bortoluzzi, and Gastone Pietro Rosati Papini. "Modelling longitudinal vehicle dynamics with neural networks". In: *Vehicle System Dynamics* (2020).

¹⁷Mattia Piccinini et al. "A Physics-Driven Artificial Agent for Online Time-Optimal Vehicle Motion Planning and Control". In: *IEEE Access* (2023).

¹⁸Mauro Da Lio, Mattia Piccinini, and Francesco Biral. "Robust and Sample-Efficient Estimation of Vehicle Lateral Velocity Using Neural Networks With Explainable Structure Informed by Kinematic Principles". In: *IEEE Transactions on Intelligent Transportation Systems* (2023).

¹⁹Antonio Di Dino, Francesco Biral, and Paolo Bosetti. "Hybrid Modeling of Non-Linear Mechanical Systems: The Case of a Vehicle Shock Absorber". In: 2011.

²⁰Alessandro Antonucci et al. "Efficient Prediction of Human Motion for Real-Time Robotics Applications With Physics-Inspired Neural Networks". In: *IEEE Access* (2022).

NNODELY FRAMEWORK

- *Modeling, control and estimation of **physical systems**, whose internal dynamics may be partially unknown*

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- **Speed-up** the development of MS-NN, which is complex to design using standard deep-learning framework

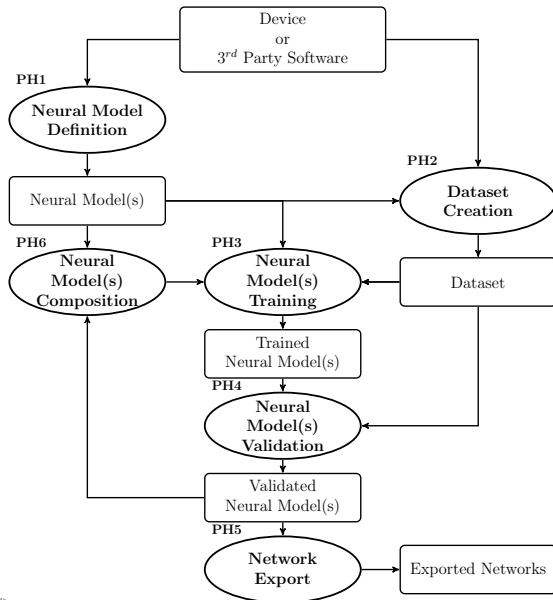
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- A **repository** of incremental **know-how** that effectively collects approaches with the same purpose, i.e. building an increasingly advanced library of MS-NNs for various applications.

NODELY FRAMEWORK

WORKFLOW

Framework workflow



modely
neuralize your model



MS-NNs Definition (PH1)

- Defining **Inputs**
- Defining **Outputs**
- Defining **Relations**:
 - Arithmetic operations
 - Trigonometric operations
 - Custom functions
 - Local models
 - Fuzzification
 - ...

MS-NN Manipulation

- Data loading and dataset creation (PH2)
- Definition of the fitting objectives (PH3)
- Definition of the network composition and connection (PH6)
- Training (PH3)
- Validation using model based criteria (PH4)
- MS-NN export (PH5) in PyTorch and ONNX format

NODELY FRAMEWORK

PHYSICAL PRINCIPLES

The **MS-NN** embeds and generalizes **physical principles** and prior-model knowledge, where the parameters are learned from **experimental data**

Embedding Physical Principles (Main Ideas)

Main model concepts:

- Linear **superposition of forces**:

$$\dot{x} = f(x, u) = f_x(x) + f_1(x, u_1) + f_2(x, u_2) + \dots + f_n(x, u_n)$$

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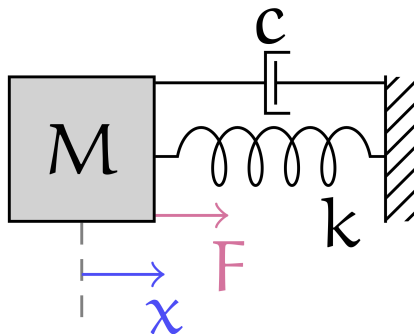
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- Flexible managing of **recursive networks**

USE CASES



Simple example of a Mass-spring-damper

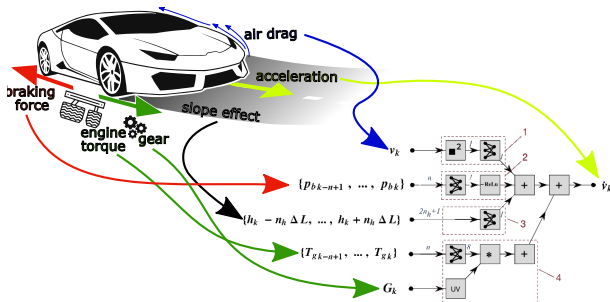
- Learn the dynamics of a mass-spring-damper system



$$x_{k+1} = \underbrace{\sum_{k=0}^{N_x-1} x[-k] \cdot h_x[(N_x-1)-k]}_{\text{FIR}(\tilde{x})} + \underbrace{F[0] \cdot h_F}_{\text{FIR}(F)}$$

Modeling the forward longitudinal vehicle dynamics²¹

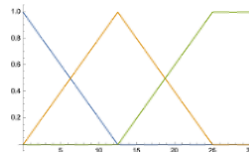
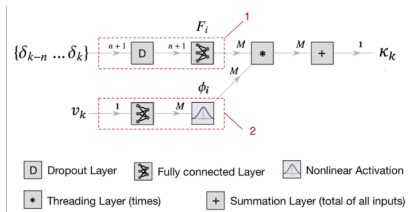
$$m \dot{v}_x = F_{x_f} + F_{x_r} - k_d v_x^2 - m(g c_r \cos(\theta) + g \sin(\theta))$$



$$\dot{v}_x = \sum_i^{\text{gear}} \overbrace{g_i \text{FIR}_i(\bar{T}_g) - \text{Relu}(\text{FIR}(\bar{p}_b))}^{(F_{x_f} + F_{x_r})/m} + \overbrace{\text{FIR}(v_x^2)}^{k_d v_x^2 / m} - \overbrace{\text{Linear}(\bar{h}_k)}^{g c_r \cos(\theta) + g \sin(\theta)}$$

Modeling the lateral vehicle dynamics

Forward model of a vehicle's lateral dynamics²²

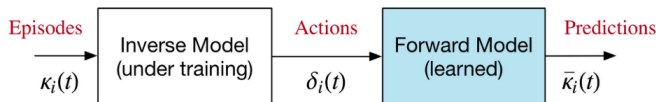


with $\phi_i(v) = \frac{1}{1+Av^2} \Lambda\left(\frac{v-v_i}{\Delta v}\right)$ where v_i are the centres of the receptive fields, Δv the receptive fields radius and $\Lambda(\cdot)$ is the Heaviside Lambda function: $\Lambda(x) = \max(1 - |x|, 0)$. The scaling factor $1 + Av^2$, with learnable A (understeering gradient)

²²Mauro Da Lio et al. "A mental simulation approach for learning neural-network predictive control (in self-driving cars)". In: *IEEE Access* (2020).

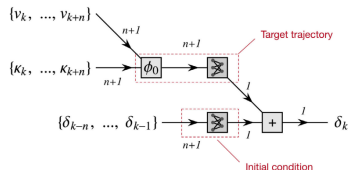
Controlling the lateral vehicle dynamics

1. Train the **forward model** of the vehicle's lateral dynamics
2. Use the trained forward model to train the **inverse model**, which is a control system → unsupervised learning



Controlling the lateral vehicle dynamics

Inverse model of a vehicle's lateral dynamics²³

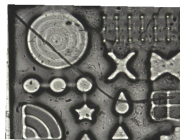
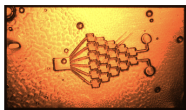
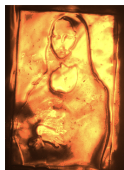


with ϕ_0 threading v , and κ into $(1 + Av^2)\kappa$, which models the understeering gradient effect re-using the understeering coefficient A from the forward dynamics

²³Mauro Da Lio et al. "A mental simulation approach for learning neural-network predictive control (in self-driving cars)". In: *IEEE Access* (2020).

Controlling the 3D printing process

The network for defining the 3D printing process parameters.



$$\phi(t) = 1 - \exp^{-t^3 k}$$

Models nucleation and growth in crosslinking during polymerization.

$$d_c = D_p \log \left(\frac{E}{E_C} \right)$$

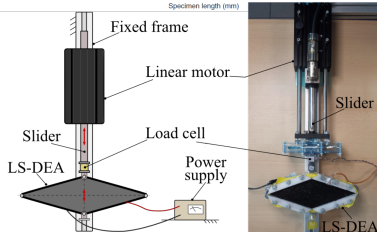
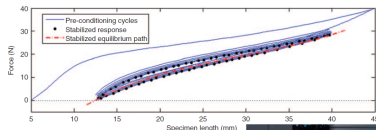
Describes how deep the resin will cure, depending on $\frac{E}{E_C}$.

- Degree of polymerization $\phi(t)$ (unitless)
- Reaction rate constant k (s^{-n})
- Cure depth d_c (μm)
- Penetration depth D_p (μm)
- Delivered energy dose E (mJ/cm^2)
- Critical energy E_C (mJ/cm^2)

Model a response of electroactive polymers

The neural network model is based on a physical model of a viscoelastic material

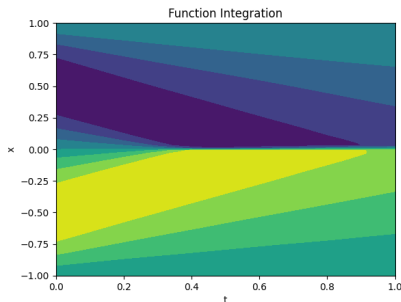
$$f_{\text{tot}} = f_e(\lambda) + f_d([\lambda_t, \dots, \lambda], [\dot{\lambda}_t, \dots, \dot{\lambda}]) + f_v(V, \lambda)$$



- total force realized: f_{tot}
- stretch: λ
- voltage: V
- elastic force: f_e
- viscoelastic and hysteretic force: f_d
- electric force: f_v

Integrate a nonlinear differential equation

Integrate the Burger's equation²⁴ in the range $x \in [-1, 1]$,
 $t \in [0, 1]$.



$$u = NN(t, x),$$

$$\frac{u}{dt} + u \frac{u}{dx} - \left(\frac{0.01}{\pi} \right) \frac{u}{dx^2} = 0,$$

$$u(0, x) = -\sin(\pi x),$$

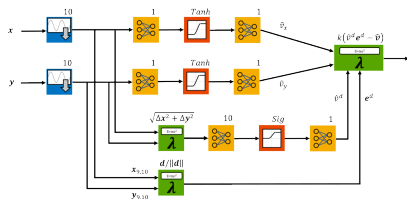
$$u(t, -1) = u(t, 1) = 0.$$

²⁴M. Raissi, P. Perdikaris, and G.E. Karniadakis. "Physics-informed neural networks: A deep learning framework for solving forward and inverse problems involving nonlinear partial differential equations". In: *Journal of Computational Physics* (2019).

Learning a pedestrian model

The network is based on social force model²⁵

$$\mathbf{f}(t) = m \frac{v^d(t)\mathbf{e}^d(t) - \mathbf{v}(t)}{\tau} + \sum_w \mathbf{f}_w^W(t)$$



- forces on pedestrian: $\mathbf{f}$
- pedestrian mass: m
- pedestrian speed: $\mathbf{v}$
- desired velocity: v^d
- waypoint direction: $\mathbf{e}^d$
- velocity change rate: τ
- obstacle forces: $\mathbf{f}_w^W$

²⁵Alessandro Antonucci et al. "Efficient Prediction of Human Motion for Real-Time Robotics Applications With Physics-Inspired Neural Networks". In: *IEEE Access* (2022).

The applications are available at
<https://github.com/tonegas/nnodey-applications>



FUTURE WORK

- **Bridge the gaps** between machine learning and control theory.
- Apply nnodely to neuralize models and controllers from **different domains**;
- Starting from a nnodely model, **automatically** generate and train a nnodely **controller**.



Apply the framework on **your** task
and



Star it if you like!

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